

# Authority to Deposit Securities



**Custody  
Service Center  
Scan or Fax**

**0 1 0 8 7**

Form #

Account #

Branch #

FA #

Speed Dial #

## Deposit to Account

Name on Account \_\_\_\_\_

Certificate Registration (if different from name on account) \_\_\_\_\_

Relationship (to registered certificate holder) \_\_\_\_\_

Address \_\_\_\_\_

**If registered certificate holder is not an RJ client:**

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

In what country does the registered certificate holder reside? \_\_\_\_\_

Is the registered certificate holder a U.S. Citizen?  Yes  No, Country of Citizenship: \_\_\_\_\_

## Securities Transfer Details

**If transferring a portion of a multi-tax lot position, a FIFO method will be used unless otherwise specified.**  
(Provide details below)

| Quantity/Amount | Symbol/Description | Certificate # |
|-----------------|--------------------|---------------|
|                 |                    |               |
|                 |                    |               |
|                 |                    |               |
|                 |                    |               |
|                 |                    |               |

## Cost Basis Instructions

**Select One:**  Non-Gift / Non-Inheritance / Spousal Transfer

Gift (Gift rules will be applied)

Inheritance - Provide Date of Death: \_\_\_\_\_

Alternate Valuation Date

Please specify the amount of the step-up (e.g. 100% or 50/50): \_\_\_\_\_ %

## Acknowledgments and Signatures

I/We hereby relinquish all right, title and interest in said transferred securities, and release and discharge you of any claims by me or my legal representatives with reference thereto, including the proceeds from the sale or other disposition thereof. I/We agree to hold Raymond James & Associates, Inc., harmless from any loss or claim which may be incurred by reason of this transfer of funds and/or securities to the account(s) as stated above.

Client / Shareholder Signature

Date

**Medallion Stamp Guarantee**

Client / Shareholder Signature (if applicable)

Date

Branch Manager Approval

Date